

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Paolo De Anna, et al.**

Docket No.

02P18560

Serial No.

10/730,931

Filing Date

12/10/2003

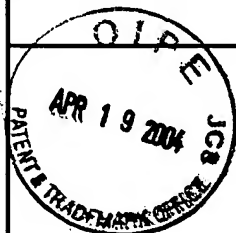
Examiner

(Not yet assigned)

Group Art Unit

2838

Invention:

SWITCHED-MODE POWER SUPPLYI hereby certify that this **Information Disclosure Statement [Statements, Citation, 6 Documents]***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **April 16, 2004***(Date)***Kenneth D. Labudda, Reg. No. 41,134***(Typed or Printed Name of Person Mailing Correspondence)***Kenneth D. Labudda 04/16/2004***(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

02P18560

In Re Application Of:

Paolo De Anna, et al.

Serial No.

10/730,931

Filing Date

12/10/2003

Examiner

(Not yet assigned)

Group Art Unit

2838

Title:

SWITCHED-MODE POWER SUPPLY

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

02P18560

In Re Application:

Paolo De Anna, et al.

Serial No.

10/730,931

Filing Date

12/10/2003

Examiner

(Not yet assigned)

Group Art Unit

2838

SWITCHED-MODE POWER SUPPLY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 15-0685 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on April 16, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kenneth D. Labudda 04/16/2004

Signature of Person Mailing Correspondence

Kenneth D. Labudda, Reg. No. 41,134

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Kenneth D. Labudda

Signature

Dated: 16 April 2004

Kenneth D. Labudda, Reg. No. 41,134
Agent for Applicant(s)

OSRAM SYLVANIA INC.
800 N. Church St.
Lake Zurich, IL 60047
Tel. (847) 726-6448

CC:

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
02P18560

In Re Application Of:
Paolo De Anna, et al.

Serial No.
10/730,931

Filing Date
12/10/2003

Examiner
(Not yet assigned)

Group Art Unit
2838

Invention:
SWITCHED-MODE POWER SUPPLY

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Kenneth D. Labudda
Signature

Dated: 16 April 2004

Kenneth D. Labudda, Reg. No. 41,134
Agent for Applicant(s)

OSRAM SYLVANIA INC.
800 N. Church St.
Lake Zurich, IL 60047
Tel. (847) 726-6448

Certificate of Mailing by First Class Mail

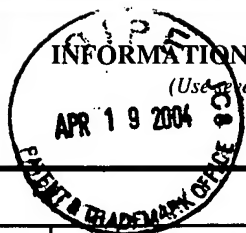
I certify that this document is being deposited on
April 16, 2004 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Kenneth D. Labudda 04/16/2004
Signature of Person Mailing Correspondence

Kenneth D. Labudda, Reg. No. 41,134

Typed or Printed Name of Person Mailing Correspondence

cc:

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

02P18560

Application Number

10/730,931

Applicant(s)

Paolo De Anna, et al.

Filing Date

12/10/2003

Group Art Unit

2838**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,703,763 A	12/30/1997	Smeets	363	20	08/29/1996
		5,880,943 A	03/09/1999	Yokoyama	363	56	04/22/1997
		2002/176262 A1	11/28/2002	Tripathi, et al.	363	21.15	05/25/2001

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		(1) Duarte, C.M.C. et al., "Family of ZVS-PMW Active-Clamping DC-to-DC Converters: Synthesis, Analysis, Design, and Experimentation," <i>IEEE Transactions on Circuits and Systems I: Fundamental Theory and Applications</i> , IEEE Inc., New York, U.S., Vol. 44, No. 8, August 1997, pp. 698-703.
		(2) <i>Patent Abstracts of Japan</i> , Vol. 1999, No. 4 (April 30, 1999), referencing Japanese Patent Publication No. 11008978 (published January 12, 1999).
		(3) European Patent Office Search Report (referencing all documents listed above) dated 02/10/2004.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.